



Optimization of Pretreatment, Enzymatic Saccharification and Fermentation Conditions for Bioethanol Production from Rice Straw

KEYWORDS

Rice straw, Pretreatment, Saccharification, Fermentation

Gurvinder Singh KocherDepartment of Microbiology
Punjab Agricultural University, Ludhiana-141004, India**Krishan Lal Kalra**Department of Microbiology
Punjab Agricultural University, Ludhiana-141004, India

ABSTRACT Pretreatment of rice straw was optimized by soaking it in 2% H₂SO₄ (1:8) followed by autoclaving at 15 psi for 90 min resulting in 25% solubility of cellulose along with decrease in hemicelluloses and lignin contents. Pretreatment also released 70.5 mg xyloses and 296.2 mg hexoses per gram of straw in the acidic solution that upon fermentation with a co culture (5% v/v) of *S.cerevisiae* and *P.tannophilus* (1:1) produced 0.98%, v/v of ethanol. Saccharification of pretreated rice straw, optimized [S] and [E] revealed 5% substrate and 15 FPU of partially purified enzyme, yielding 41.8% saccharification efficiency. The fermentation of saccharified rice straw produced 0.67%, v/v ethanol at a fermentation efficiency of 78.6%. The validation of fermentation of saccharified rice straw to 3 L (@ 200g straw) revealed 6.1g of absolute alcohol at a fermentation efficiency of 55%.

INTRODUCTION:

Rice straw is one of the abundant lignocellulosic wastes of the world with an annual production of 731 MT of which Asia contributes about 90%. This amount of straw can produce 205 billion litres of ethanol /year (Kim and Dale, 2004). In spite of this huge potential of rice straw, its practical application is marked with recalcitrance that requires stringent physicochemical techniques to open up its bound sugars. This so called pretreatment has been studied and literature reports use of acid, alkali or even different solvents either alone or coupled with steaming or other physico-chemical procedures (Kang and Kim, 2012). Pretreatment while softening the crystalline meshwork of rice straw also releases bulk of hemicelluloses sugars in the reaction mixture as xylans. While the latter can be fermented to ethanol by a number of xylose fermenting yeasts like *Pachysolan tannophilus*, *Candida* etc, the cellulose of loosened solid straw is first saccharified by cellulases and then fermented to ethanol. A number of fungi specially *Trichoderma harzianum*, *T.reesei* and *Aspergillus* sp. produce cellulase and convert cellulose to glucose (Kocher et al., 2008). The latter is then fermented to ethanol by the traditional *S.cerevisiae* and other hexose fermenting yeasts.

In the present study, rice straw was optimized for pretreatment, saccharified by a crude cellulase from our local isolate *Trichoderma harzianum* Rut C-8230 and then fermented with *P.tannophilus* NRRL Y-2460 and *S.cerevisiae* strain 35.

MATERIALS AND METHODS:**Procurement and Analysis of rice straw**

The paddy straw obtained from local market was chopped into 5-7 cm pieces and dried in an oven at 70°C for 24 h. It was then ground (40 mesh) and packed in poly bags. Physical analysis of the sample revealed that the Bulk densities of raw and ground straw were 87 and 297 kg m⁻³, respectively and moisture content was 8.0%. The composition of paddy straw was 55.4, 22 and 10.3% of cellulose, hemicelluloses and lignin, respectively (Crampton and Maynard, 1938) and Goering and Vansoest, 1970).

Pretreatment of rice straw

The pretreatment of water washed paddy straw was carried out by taking 5 gm of rice straw that was soaked overnight in 75 ml of 2% H₂SO₄, 2.5% NaOH or a solution (1:1) of 96% C₂H₅OH + 8% NaOH (as three separate treatments). The soaked straw was autoclaved at 15 psi for 90 minutes followed by sudden release of pressure by opening of steam release and safety valves.

Fermentation of pretreatment hydrolysate

The acid hydrolysate obtained from the pretreated rice straw was decanted into sterilized flasks, their pH adjusted to 5.0 and this hydrolysate was divided into 3 sets of triplicate flasks each containing 50 ml of hydrolysate. The 3 sets were inoculated @ 5% (v/v) with active cultures of *S. cerevisiae* 35, *P. tannophilus* and a combination of the two (1:1), respectively and incubated in a BOD incubator (28°C) upto 48h. The periodic samples were analyzed for ethanol production by the method of Caputi and Wright (1969).

Saccharification of pretreated rice straw

The pretreated rice straw was dried in an oven at 60°C for 3 h and used for saccharification by partially purified cellulase of *Trichoderma harzianum* MTCC 8230 (Kocher et al., 2010). The saccharification was carried out by incubating a mixture (100 ml) of pretreated rice straw, 0.1 M citrate buffer (pH 5.0) and cellulase in a water bath cum shaker at 45°C (100 rpm) for 72h. The saccharification was estimated by reducing sugars produced (Miller, 1959) and calculated as:

$$\text{Saccharification efficiency (\%)} = \frac{\text{Released sugars (g)}}{\text{Pretreated substrate(g)}} \times 0.9 \times 100$$

The saccharification was optimized with respect to concentration of pretreated rice straw (5- 15%) and partially purified cellulase (5-25 FPU/30 ml).

Ethanol production from saccharified rice straw

Ethanol production from rice straw was studied by initially taking a 300 ml reaction mixture containing 10 and 20 g pretreated rice straw, saccharified with 7.5 FPU of partially purified cellulase/g of rice straw and fermented with a 5% (v/v) inoculum of *S.cerevisiae*. The periodic samples were estimated for reducing sugars and ethanol as described above. The ethanol production process was then validated in a steel fermenter (7L capacity, Bioage, Mohali, India) at 3.0 L scale.

RESULTS AND DISCUSSION:

The results presented in table 1 revealed that the combined acid and steam (15 psi for 90 minutes) treatment was best with hexose and xylose levels of 287.6 and 82.5 mg/g of rice straw, respectively. On the other hand, the alkali and C₂H₅OH + NaOH treatments were found to produce lesser amounts of hexose sugars (7.6 mg/g and 9.8 mg/g respectively) and xylose (67.8 mg/g and 72.1 mg/g respectively). Earlier, Yoswathana and Phuriphipat (2010) standardized pretreatment of rice straw using acid and ultrasonic treatment with a

37% recovery of sugars. Although alkali treatment led to more delignification of rice straw, the acid treatment produced low levels of furfurals (inhibit fermentation) and high levels of reducing sugars and xylose (Table 1). At increased pressure of 20 psi and 25 psi, there was more solubilization of cellulose and hemicellulose but it was accompanied with high levels of furfurals and low levels of xyloses (Gupta and Sharma, 2008). Both cellulose and hemicelluloses were solubilized with cellulose solubility of 16-25%. Also, sufficient appearance of free sugars in hydrolysate suggested that hydrolysis took place. The values of cellulose decreased in all the treatments, which has been reported elsewhere and there was 16-25% more cellulose available for saccharification. Hence, the optimized parameters of overnight soaking of paddy straw in 2% H_2SO_4 in substrate: acid ratio of 1:8 followed by steaming at 15 psi for 90 minutes were selected for pretreatment of paddy straw in further experiments. The dilute acid pretreatment is also considered a preferred method for its easy operation, better sugar recovery and comparatively low cost (Yoswathana and Phuriphat., 2010; Chandel et al., 2011).

The acid hydrolysate obtained from the pretreated rice straw after neutralization with NaOH and fermented with 5% (v/v) of either *S. cerevisiae*, *P. tannophilus* alone or in a combination of the two (1:1) revealed that the combination was superior with 83% fermentation efficiency and a yield (on the basis of available hexoses and pentoses) of 0.16 g/g (Table 1). In literature, ethanol yields ranging from 0.24 to 0.51 g/g are reported from pretreated hydrolysate of different lignocellulosics (Chandel et al., 2011). Yoswathana and Phuriphat (2010) reported 1.69% (v/v) ethanol from hydrolysate of pretreated rice straw. This may be attributed to the stringent conditions applied for its pretreatment due to the presence of high silica content (Binod et al., 2010).

The effect of pretreated rice straw concentration (1, 2 and 3 g/30 ml) on saccharification (Table 2) revealed a maximum saccharification efficiency of 35.6% with 2g/ 30 ml rice straw, which was selected for the subsequent experiments.

The effect of partially purified cellulase concentration was studied by varying its concentration from 5- 25 Filter Paper Units (FPU) in a reaction mixture of citrate buffer containing 2 g pretreated rice straw. The results presented in table 2 revealed that a maximum of 116 mg/g reducing sugars were obtained after 72 h of incubation in a water bath cum shaker with 15 FPU of cellulase. The corresponding saccharification efficiency was also improved to 41.8 %. The same was also comparable with the control (Carboxymethyl cellulase, a soluble form of cellulase) where 51% saccharification efficiency was realized. Therefore, 15 FPU of partially purified cellulase / 2g pretreated rice straw (i.e. 7.5 FPU/g of rice straw) of cellulase for a incubation period of 72 h were optimized conditions for saccharification. Earlier, Karimi et al (2006) standardized 15 FPU/ g DM of commercial cellulase for saccharification of dilute acid pretreated rice straw. Singh and Bishnoi (2012) used 10 FPU and 100 IU α -glucosidase/g dry solids alongwith 0.15% Tween 80 for obtaining 84% saccharification.

Ethanol production from rice straw in 300 ml reaction mixture containing 10 and 20 g pretreated rice straw revealed that the reducing sugars (mg/g) obtained were at par and hence the saccharification efficiencies. At 33% saccharification, 2.8 and 5.6g reducing sugars were available from 10 and 20 g pretreated rice straw, respectively (Table 3). The straw free reducing sugar milieu was then used for ethanol fermentation.

The results presented in table 3 revealed that like sugars produced, ethanol production was double with sugars obtained from 20 than 10 g rice straw. The total ethanol production was 0.80 and 1.53g/300 ml with fermentation efficiencies of 56.4 and 53.5% respectively from 10 and 20 g pretreated straw. Therefore, 20 g rice straw/300 ml was used for validation. of ethanol production whereby, 200 g of pretreated rice straw was saccharified with partially purified cellulase (7.5 FPU/g) in a total volume of 3 L for 72 h (28°C) at 100 rpm with an air supply of 2 vvm. The results presented in table 3 revealed a total production of 21.7g of reducing sugars with a saccharification efficiency of 34.9%. Earlier Karimi et al (2006) used a rice straw loading of 50 and 100g pretreated rice straw/ liter of saccharified wort. The saccharified wort (milieu) was freed of the spent rice straw by decantation with a recovery of 2.85 L. The latter was inoculated with an active culture of *S.cerevisiae* strain 35 (grown for 24h in Glucose yeast extract broth) @ 5% (v/v) and the air supply and shaking were shut off. Results presented in table 4 revealed an ethanol production of 6.1 g/3L in 72h that correspond to 55% fermentation efficiency. This corresponds to an ethanol yield of 30.5 g/Kg of pretreated rice straw. Theoretically, @ 50.4 % cellulose of pretreated rice straw, 257.5 g ethanol/Kg rice straw is expected. Our low ethanol could be due to the fact that we obtained 287.5 mg/g glucose at the level of acid pretreatment and we incorporate these values i.e. from fermentation of pretreated hydrolysate (Table2), 160 g ethanol (out of the total theoretical amount of 220 g, based on 22% hemicelluloses), a total of 190.5 g ethanol/ Kg pretreated rice straw was obtained (from a maximum possible of 332.2 g ethanol/Kg pretreated rice straw) at an efficiency of 57.34%. Earlier, Karimi et al (2006) reported 208 ml ethanol/ Kg of rice straw and Singh and Bishnoi (2012) reported an ethanol yield of 0.50, 0.47, and 0.48 (g/g) by *S. cerevisiae*, *S. stipitis*, and by co-culture, respectively, using pretreated rice straw hydrolysate. The co-culture of *S. cerevisiae* and *S. stipitis* produced 25% more ethanol than *S. cerevisiae* alone and 31% more ethanol than *S. stipitis* alone.

CONCLUSION:

The present work revealed that acid cum steam treatment is the best pretreatment for rice straw. An indigenous isolate of *T.harzinum* was standardized for saccharification of pretreated rice straw as 7.5 U/g which on fermentation revealed an ethanol yield of 0.31 g/g of rice straw.

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Table 1. Physico-chemical pretreatment of rice straw and fermentation of acid hydrolysate by *S.cerevisiae* and *P.tannophilus*..

PRETREATMENT					
S. No	Measurable parameters	Pretreatment (with post steaming at 15 p.s.i. for 90 min)			Control
		2% H_2SO_4 (1:8)*	2.5% NaOH (1:15)*	96% C_2H_5OH + 8% NaOH 1:1 (1:8)*	
1.	¹ Cellulose	25.4	34.8	30.1	50.4
2.	² Hemicellulose	9.8	7.9	14.7	22.0
3.	³ Lignin	7.2	2.0	2.0	10.3
4.	Reducing sugars (mg/g)	287.6	7.6	9.8	--
5.	⁴ Xyloses (mg/g)	82.5	67.8	72.1	--
6.	Furfurals (mg/g)	0.1	--	--	--
FERMENTATION					

Time (h)	Ethanol, % w/v		
	Fermenting Yeasts		
	<i>S.cerevisiae</i>	<i>P.tannophilus</i>	<i>S.cerevisiae</i> + <i>P.tannophilus</i> (1:1)
24	0.22	0.10	0.29
48	0.69	0.34	0.78
^a Fermentation efficiency (%)	69.0	36.3	83.0
^b Yield, g/g	0.14	0.07	0.16

C.D. 5% = ¹2.46, ²1.81, ³1.32 and ⁴4.93

¹Sugars available were hexose (296 mg/g) and xylose (70.5 mg/g)= 1.83 g, 0.94 g of theoretical ethanol.

²Calculated on the basis of straw (5 g in 75 ml of pretreatment solution)

Table 2. Effect of concentration of pretreated (PT) rice straw and cellulase on saccharification of PT rice straw.

Parameters	Reducing sugars (mg/g) at Time (h)			Saccharification efficiency (%)
	24	48	72	
¹ PT rice straw concentration (g/30 ml)				
1.0	21.2	68.9	89.6	32.0
2.0	21.6	81.1	98.8	35.6
3.0	23.0	67.0	87.4	31.5
² Cellulase (FPU/30 ml), PT rice straw, 2g/30 ml				
5.0	16.5	17.4	24.7	8.9
10.0	22.1	58.2	103.9	37.4
15.0	23.2	93.2	116.0	41.8
20.0	24.4	59.3	92.8	33.4
25.0	25.3	86.1	103.9	37.4
Control (0.5 g CMC /100 ml)	39.0	52.6	56.8	51.1

C.D. 5% = ¹2.65, ²3.05

The reaction flasks were incubated in a water bath cum shaker at 45°C

Table 3. Fermentation of PT rice straw by *S.cerevisiae* under optimized saccharification conditions.

PT straw (g)	Reaction volume (L)	¹ Reducing sugars (mg/g)	Saccharification efficiency (%)	² Total ethanol (g)	Ethanol (g/g)	³ Fermentation efficiency (%)
10	0.3	114.5	36.8	0.80	0.08	56.4
20	0.3	115.8	37.2	1.53	0.77	53.5
200	3.0	108.9	34.9	6.1	0.31	55.0

¹Total reducing sugars available per 10, 20 and 200g were 2.8, 5.6 and 56g, respectively

²Theoretical ethanol production was 1.43, 2.86 and 11.08g per 2.8, 5.6 and 56g sugars, respectively.

³Fermentation efficiency was calculated on the basis of actual sugars available.

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