



UNDERSTANDING THE THEORETICAL MECHANISMS BEHIND PROPRIOCEPTIVE NEUROMUSCULAR FACILITATION THROUGH THE EXPERIMENTAL STUDIES ON BRAIN

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ABSTRACT

Background: Four theoretical mechanisms: Autogenic inhibition, Reciprocal inhibition, Stress relaxation, and Gate control theory tried to explain Proprioceptive Neuromuscular Facilitation (PNF) stretching with a common activation area in the brain. However, the experimental supports regarding PNF and Brain functions are very limited. **Purpose of the Study:** The study aimed to identify empirical research regarding PNF, which supports the theoretical mechanisms mainly, the brain functions. **Methods:** To compile data sources and data extraction in relation to its participants, interventions, comparisons, outcomes and study design, screen, collaborate, and synthesize the items PRISMA guideline was followed. A total of six papers identified following the strict exclusion and inclusion criteria. **Result:** Within the five brain and PNF related studies, four studies measured brain activity using electrophysiological methods, and one study observed motor evoked potentials, and another study measured Brain-Derived Neurotrophic Factor (BDNF). Three studies were randomized control trial. However the sample size, method structure, and statistical applications were also the limitation of these studies. **Finding:** PNF stretching may activate both the right and left hemispheres; sensory cortex; motor and pre motor cortex; working memory and; increase BDNF level which may develop brain plasticity. **Conclusion:** After PNF stretching, the activation of Central Nervous System (CNS) especially the brain areas, has been corroborated with the findings of the reported experimental studies.

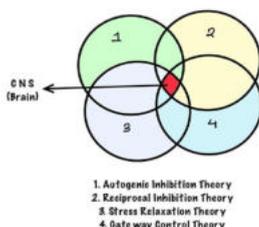
KEYWORDS : Cortex; Sensory Cortex; Motor Cortex; Pre motor Cortex; Memory Cortex; Brain Chemicals.

Introduction:

Movement is a fundamental neuromuscular activity of rhythmical progression, resulting in a change of position, pace, posture and place. The sensory cortex, motor cortex, pre-motor cortex and supplementary motor area in the different lobe of the brain are mainly responsible to initiate, execute, feedback and control of movements in the human body. It is a long standing evident and obvious fact that movement produce by skeletal muscle positively influence our brain function. Movement is also an essential modality to execute daily living activities including household activities, walking, sitting, lying, running, driving and also task specific activities. The movement is also essential for neurocognitive functions of human being. If they lose any functional capacity in the limbs for that, one has to understand the mechanisms involved in the execution of movement for example-brain and neural activity is very important in regaining lost functional ability (Moreira et al.2017; Costa et al, 2017).

PNF stretching was first developed as a method of physical therapy and rehabilitation. It is an established neuromuscular rehabilitation process for muscular injury and illness, circulo-respiratory disorders and especially for neurological impairment. The muscles, tendons, and joint receptors are involved in this process (Powers and Howley, 2018; Hindle et al., 2012). Scientists hypothesized four theories on PNF mechanisms that are the autogenic inhibition (Sharman et al, 2006), the reciprocal inhibition (Rowlands et al.2003), the stress relaxation (Sharman et al, 2006), and the gate way control theory (Konoza, 2018; Mazzullo,1978). All the theories are emphasizing central nervous system control, especially in the brain over PNF stretching (Fig.1).

Figure 1: Central Nervous System (CNS) and four PNF Theories overlapping area.



Existing research evidence reported that PNF stretching might increase physical fitness: strength (Sanavi et al.2013; Nogueira et al, 2010); endurance (Gomes et al.,2010); flexibility (Ruas et al.,2018; Ramachandran et al. 2018; Lim, 2018; Lempke et al.2018; Ferber et al,2002;), and Balance (Szafranice et al.2018; Ghram et al. 2016). PNF stretching may also improve sports performance: Football (Derbachew et al.2019; Oliveira et al.2018; Jordan et al.2012); Basketball (Naderifar et al.2018); Athletics (Kaya, 2018).

In the management of rehabilitation PNF stretching widely used throughout the world: for chronic low back pain (Areedomwong and Butttagat, 2019; Kofotolis and Kellis.2006); shoulder rehabilitation (Tedla and Sangadala, 2019; Lee, 2015; Ravichandran and Balamurugan.2020) and spinal cord injury (Crnkovic et al.2018).

PNF proved its importance for stroke rehabilitation (Kim and Kim, 2018; Chaturvedi et al.2017); Hemiplegic condition (Ali et al. 2015; Kumar et al.2012;); and myofascial pain syndrome (Lee et al. 2013). Further, PNF plays a vital role in human physiological process, including circulatory (Ha et al.2017; Krima and Falak,2016; Silva et al,2013; Hurtado et al. 2011; Gultekin et al.2006); and respiratory functions (Souza et al.2020; Ptaszkowska et al.2019; Ashtankar and Kazi,2019).

PNF on neurology (Kaya et al.2018; Smedes et al.2018; Marek et al. 2005); and brain function research was gaining momentum recently (Chaturvedi et al. 2018; Costa et al.2017; Moreira et al. 2017; Lial et al. 2017; Shimura and Kasai.2002; Nakamura and Kosaka,1986). Hindle et al. (2012) rightly pointed out that there is a little empirical evidence to support four theoretical mechanisms of PNF techniques and suggested for further research. Recently, International PNF Association in their website and others (Smedes et al. 2016) suggested that evident-base practice approach for PNF.

The present review study aimed to identify and analyze authentic scientific experimental studies related to brain functions and PNF stretching for better understanding the theoretical mechanisms already stated by scientists.

Methods:

Identification of relevant studies:

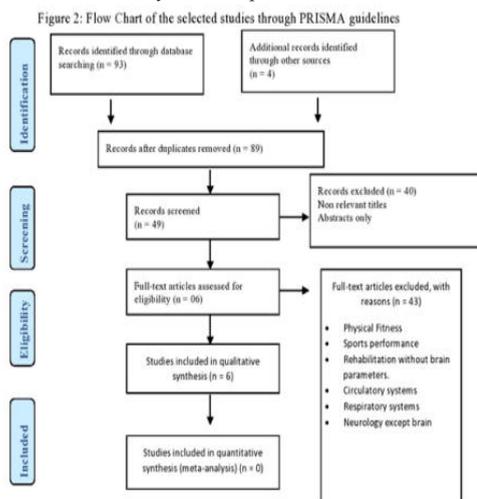
The aim of this review was to understand the brain related mechanisms of PNF stretching. The present study followed the guideline of Preferred Reporting Systematic Reviews and Meta- Analysis (PRISMA) proposed by Moher et al.2009. We used the following database to identify studies from inception to May 2020 that have examined the role of PNF stretching on brain functions: MEDLINE, PubMed, Elsevier, Archives of Budo, Web of Science, IPNFA, Biomed Central, Springer, Somatosensory and Motor research, Science Direct, PEDro, and Cochrane library. The relevant published articles were identified using the following keywords like PNF and Cortex; Sensory Cortex; Motor Cortex; Pre motor Cortex; Memory Cortex; Brain Chemicals. Only the experimental studies were extracted from various searches of related papers.

Inclusion and Exclusion Criteria:

The criteria of eligibility were included; i) The papers should be published in English language ii) The papers were accessible in online iii) Quantitative and Qualitative data of PNF stretching iv) Brain function and related aspects. The papers were considered which have published up to the month of May 2020 with no starting line. We did not set any limitations of published studies in terms of age, gender for the target groups etc. The duplicate citation papers have been excluded.

Study selection:

An assessment of eligibility was identified by the researchers. The selected literatures were downloaded with reference. The duplicate manuscripts were eliminated. The eligible titles and the abstracts were screened. Then the full text articles on PNF stretching as an intervention in different age and gender group with the brain related variables were downloaded. The inclusion and exclusion were determine after reading the full texts articles. The total records of 93 identified from search data base at the initial stage. After removed of duplicates, 89 titles and abstracts were checked for inclusion. After the eligibility check a total of 49 literatures were extracted as full texts. After examined all the full texts 43 studies were excluded. For the final qualitative synthesis six studies fulfill the criteria completely in the systematic review. Fig.2 presents the PRISMA flowchart that summarize the of the study selection process.



Study Characteristics:

Out of six brain related studies, four studies contained the prevalence related information for brain waves. Out of four studies 3 studies are done on alpha band absolute power, and one is for beta band absolute power. One study reported on motor cortex evoked potential, and other study was on brain chemical (BDNF). Among the six studies, three studies were done in South America, and one was done in Asia on electrophysiology. Study on motor evoked potential, and Brain-Derived Neurotrophic Factor (BDNF) was done in Asia.

Findings:

After thoroughly completing the systematic review process under the PRISMA guideline, only six experimental papers were identified to meet the objectives of the study. In the following chronologically the studies were presented:

Brain Chemical: Brain-Derived Neurotrophic Factor (BDNF)

Chaturvedi et al. (2018) observed Brain-Derived Neurotrophic Factor

(BDNF) in the serum which is responsible for neuroplasticity, before and after PNF stretching and task-specific training interventions on 90 male and female patients (age range 40-70 years) with first time stroke. The subjects were divided into two groups: PNF and task-specific training for upper and lower extremity. Both the groups received the intervention for 30 minutes twice a daily, 5days in a week for 4 weeks. After 4 weeks and 6 months serum BDNF level was measured in both the groups. They reported raised in serum BDNF level from baseline to 4 weeks and 6 months in both the groups. The PNF group showed more improvement in BDNF level. BDNF the most abundant neurotrophin within the brain, it promotes neurogenesis and angiogenesis which are very effective for the development of neuroplasticity (Kurozumi et al.2004; Schabitz et al. 2007).

Cortical activity: Sensory and Motor cortex, Pre motor and Working memory Cortex

Moreira et al.(2017) investigated the differences in the electrophysiological responses triggered by PNF and shoulder flexion movements performed without the diagonal component on cortical electrical activity. They analyzed the differences by using the cortical potentials produced by the motor task before and after the execution of movements specifically through the beta band absolute power levels produced in the dorsolateral prefrontal cortex, primary motor cortex, and parietal cortex. They hypothesized that PNF diagonal upper movement would produce a greater increase in absolute beta band power than flexion in the sagittal plane alone. It was a self-control cross-sectional study conducted in a brain mapping laboratory. Thirty right-handed sedentary female participants, 21.36 ± 2.18 years mean age and with a mean of body mass index 23 ± 2.27 kg/m². The exclusion criteria was musculoskeletal and joint disorder in the upper limb and any kind of cardiopulmonary or neurocognitive diseases, the individuals with functional limitation in the performance of resistance movement, amputees, participants those who have used psycho active drugs or less than 8 hours slept the night before the experiment. The signals of EEG was measured by using a standard medical instrument with electrodes arranged according to the international 10-20 systems in an isolated acoustically control recording room. The participants were randomized into three groups: i). No performance of movement during the task interval (control), ii) PNF group starting with the wrist and fingers, the participant flexed the hand on the contralateral leg in order to simulate the starting position standardized by PNF, i.e., the participant performed an extension of the wrist and fingers, an extension of the elbow with flexion, abduction, and external rotation in the right upper limb; Flex, upper limb flexion, adduction and rotation, held in the sagittal plane, i.e., the hand was initially placed on the ipsilateral leg with the wrist and fingers in flexion. All the participants have received instruction from a physiotherapist, and when they performed all the movements correctly, then the EEG signals data was captured.

They observed that the absolute beta band power increases in both the right and left dorsolateral prefrontal cortex, especially in the PNF group suggest a greater need for planning movement execution in a diagonal movement, consistent with greater neural adaptations in these regions. The result also seems to indicate that PNF increased working memory activity since this function is control by the dorsolateral prefrontal cortex, which shows an increase in absolute power following PNF. They also reported the primary motor cortex demonstrated increase beta band power in the PNF group means greater control of movements and kinesthetic responses. This in turn required greater participation of the motor cortex in the movement processing and execution because of muscle recruitment and proprioceptive stimuli (Hoshi,2006).

The beta power increase also observed in the parietal cortex, suggesting that PNF requires to increase cortical activity to integrate somatosensory information related to the movement (Cabeza et al. 2008; Teixeira et al.2014). PNF appears to involve the coordination of more difficult motor sequences and requires greater muscle recruitment and articulation (Witt et al.2011). This indicate that more cognitive control and neural plastic adaptation are necessary for PNF (Savage et al. 2015; Zhorne, 2016). So that the motor task may be learned and perform correctly (Macdonald, 2000). PNF may have greater attention demand so that the movement performed required better coordination spatial organization (Johansen-Berg and Matthews,2002). They concluded that PNF increased dorsolateral prefrontal cortex activity which control working memory and generate greater changes in parietal cortex activity, a cortical region whose function relate to the integration of motor information.

Lial et al.(2017) investigated electrophysiological activity in the dorsolateral prefrontal cortex and superior parietal pattern. The hypothesis of this study was that the alpha band absolute power would be higher in the PNF group compared to the group that carried out the movement in the sagittal plane and PNF would promote greater neuromuscular recruitment and generate a greater stimulation of muscle and joint receptors. 30 female participants with an average age of 21.3 ± 2.1 years and with a BMI range between 18.5 and 24.99 were included in this study. They excluded women with musculoskeletal and joint disorders in the right upper limb and the presence of any circulo-respiratory and neurocognitive diseases and with functional limitations, amputation. The participants should not have used psychoactive drugs or slept less than 8 hours the night before the experiment. The volunteers were performed diagonal PNF with right upper limb flexion as well as abduction and external rotation with elbow extension and radial deviation of the wrist and fingers. The movement began with the positioning of the upper extension of the right limb, internal rotation, and adduction of the shoulder, elbow extension, wrist flexion, and then the deviation of the fingers and ulnar. The experiment was started with the participants positioned in the medial thigh contralateral position. The participants received instruction of PNF movement by an experienced trainer. Once the participants understood the PNF movement correctly, then the EEG measurement was collected. The task was carried out in a standard experimental room and the participants were sitting comfortably when the EEG signals were captured. They observed that the absolute alpha power was higher in the cortical areas of the left hemisphere compared with the right hemisphere in the PNF group. As it is directly related to motor planning processing and the level of care required for movement in the PNF diagonal as the fronto-parietal circuit participants in the joint planning of motor action. The fronto-parietal circuit is integrated to the specialization of movement. Especially in decision making processes related to motor action. (Andersen and Cui 2009; Capotosto et al. 2009; Nader et al. 2008; Cole et al. 2014). They concluded that in this context, the higher alpha absolute power behavior of the left hemisphere was expected because the task was performed only by the right upper limb. They also reported that there was a pre dominance of absolute alpha power in the central dorsolateral prefrontal cortex and superior parietal cortex.

Costa et al.(2017) investigated the effect of upper limb PNF stretching on electrophysiological signals in healthy female subjects. Thirty female participants with the age range of 18-28 years weight between 50-80 kg were recruited for this study. It was a controlled cross-sectional study that was approved by the research ethics committee. In order to ensure homogeneity, only female students were selected. The inclusion criteria were: sedentary young women, normal BMI, and should not be familiar with the basic PNF principle. The exclusion criterion was subject with musculoskeletal or upper limb joint problem, cardiopulmonary or neurocognitive illness or functional limitation and amputees. For accurate EEG recording, the subject who used psychoactive drugs and slept less than 8 hours in the night before the experiment were excluded. They divided all subjects into 3 groups with a specific task: namely A- control group, B- PNF group, C- PNF load group. The PNF group performed the flexion-abduction-external rotation movement with an extension of elbow, wrist, and fingers and radial deviation of the right upper limb. The movement starting from the right shoulder in a position of slight internal rotation with elbow extension, flexion of the wrist and fingers and ulnar deviation, with the hand resting on the medial part of the contralateral thigh; c) PNF load group performed the same movements of B group, however, with the addition of a load to the movement. The EEG signals were captured by using international 10-20 systems and 20 channels of medical instruments. The objective of the present study was to collect data on the effect of upper limb diagonal PNF in the electro-neurophysiological parameters. The hypothesis of this study was that PNF would produce an increase of the relative power (RP) in the alpha band, and that would be generated from the cerebral cortex level. In this investigation, it was observed that the relative power increase of the alpha band at both the experimental group. It is assumed that this area of the cortex remains more active because the movements activate the central nervous system generally and consequently promoting greater neuromuscular recruitment. This may be explained due to the fact that this region of the cortex, more precisely the dorsomedial prefrontal cortex, is related to working memory and serves as a guide for decision making and future motor actions.(Raschle et al.2015; Yang et al.2014; Rosario,2011; Rhyu et al.2015; Pereira and Goncalves, 2012)

Shimura and Kasai, (2002) they evaluated neurophysiological mechanism related to the PNF method on the basis of observed changes in latency and amplitude of the motor evoked potentials in the motor cortex. They investigated four healthy male subjects age range 38-43 years who gave their informed consent forms. This experiment followed the local institutional guideline and ethical standard. Motor evoked potentials (MEPS) measured with the MES-10 magnetic stimulation apparatus. They found that the motor evoked potentials amplitude was larger and its latency shorter in the PNF stretching than in the neutral position. They assumed that these results are due to the fact that the amount of sensory input coming from the periphery was greater in the PNF position than in the normal position, which induced changes in the excitability of the pyramidal tract the final motor pathway (Benecke, Meyer, Gohmann, & Conrad, 1988; Day et al.,1987; Hess, Mills, & Murry, 1987; Kasai, 1989, 1992; Rothwell et al., 1987). In the PNF position sensory inputs from the periphery lead to stronger excitation of the cortical area, leading to variations in the threshold, of a number of motoneurons, which is reflected in the motor evoked potentials (Deletis V, Dimitrijevic & Sherwood,1987; Kasai et al.1992; Day et al.1987; Benecke et al.1988; Hess et al. 1987; Hauptmann & Hummelsheim, 1996; Hummelsheim & Skrotzki,1997; Hauptmann & Neuman, 1995; Rothwell et al. 1987). They identified another possibility that the PNF position influences the cortical and the spiral activation prior to voluntary movement and consequently leads to a reduction in EMG-RT and excitability changes in the MEPS.

Nakamura and Kosaka (1986) examined five patients with spinocerebellar degeneration by using EEG topography before and after PNF maneuver. They observed an increase in alpha band power in four patients after PNF maneuver. They noticed that improvement of motor function by PNF treatment in patient with normal EEG response to postural changes after PNF maneuver. The summary of the studies with PNF and Brain function is presented in Table: 1. for ready references.

Table 1: Brief summary of studies with PNF stretching and Brain function

Study reference	Population of the study	Study Design	Intervention	Comparators	Brain parameters	Conclusion
1.Moraira et al.2017	30 sedentary right handed female participants (age: 21.36 + 2.18 years) BMI-23+ 2.27 kg/m ³	Randomized Control Trial (RCT) Experimental Pre and Post	<ul style="list-style-type: none"> PNF with diagonal movement Shoulder flexion movements performed without the diagonal component Control group at rest 	PNF vs Flexion vs Control	EEG : Beta band absolute power	PNF group: increase beta band absolute power in both hemispheres indicating greater plasticity. Thus influencing cortical organisation in more complex task.
2. Lial et al.2017	30 sedentary female participants (Age 21.3 + 2.1 years and weight 57.5 + 7.1 kg). BMI-between 18.5 and 24.99 kg/m ²	RCT Experimental Pre and Post RCT Experimental Pre and Post	<ul style="list-style-type: none"> PNF diagonal movement pattern with right upper limb. Flexion group performed flexion,abduction,shoulder rotation and wrist extension with fingers in the sagittal plane.. Control group at rest 	PNF vs Flexion vs Control	EEG: Absolute alpha band power	PNF group: Increase in alpha absolute power in the left dorsolateral prefrontal cortex and upper left parietal cortex to execute motor action with planning and attention.
3.Costa et al.2017	30 female participants age	RCT	<ul style="list-style-type: none"> PNF group-performed diagonal 	PNF vs PNF with load vs	EEG: Absolute beta	PNF group: increased alpha band

	between 18-28 years. BMI- between 18.5 and 24.9 kg/m ²		pattern with right upper limb. <ul style="list-style-type: none"> PNF with load-performed same PNF exercise with load. Control group at rest 	Control.	band power.	can be considered favourable in relation to cortical behaviour.
4. Shimura and Kasai, 2002	4 healthy male participants age range between 38-43 years.	Conveniently	<ul style="list-style-type: none"> PNF posture Neutral posture 	PNF vs Neutral	Motor evoked potentials	PNF group: MEPS amplitude increase MEP latency decrease due to greater sensory input and excitability of the motor cortex and a pyramidal tract, the final motor path way.
5. Nakamura and Kosokawa, 1986	5 patients with spinocerebellar degeneration. Age range 36-61 years. Duration of illness range 2-9 years.	Conveniently Experimental Pre and Post	<ul style="list-style-type: none"> PNF (Lower extremity) hip flexed at 90o, 30o and rotation. 	Single group- self as control.	EEG: Absolute beta band power	Increase Alpha band power after PNF indicating improvement of motor function.
6. Chaturvedi et al. 2018	90 Patients with having first time stroke. Age between 40-70 years (Male- 57 and Female- 33).	Conveniently two experimental designs. Group 1: PNF and Group 2: Task specific training.	<ul style="list-style-type: none"> PNF group: For neck, trunk, scapula and pelvis. Task oriented exercise program for upper and lower extremity. 	PNF vs Task specific	Brain Derived Neurotrophic Factor (BDNF)	Increased serum BDNF in both the groups indicating enhancement of neuroplasticity, neurogenesis and angiogenesis.

Discussion:

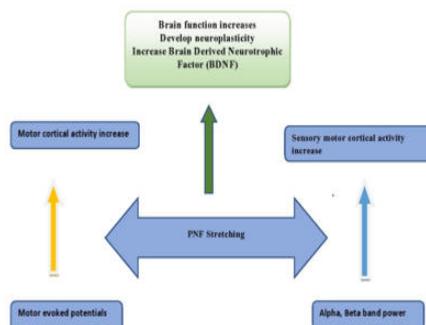
Already few scientists had identified theoretical mechanisms of PNF stretching that are as follows i) Autogenic inhibition theory ii) Reciprocal inhibition theory iii) Stress relaxation theory, and iv) Gate control theory. Autogenic inhibition theory golgi tendon organs activated and the action of Golgi tendon organs connected with the central nervous system. In reciprocal inhibition, the antagonist muscle activity inhibited by the motor nerve signals influence by the central nervous system. Stress relaxation theory expresses the activation of musculotendinous unit by the central nervous system. In the gate control theory, one neural stimulation allowed, and others stopped for the time being to get entry into the central nervous system.(Hindle et al.2012: Sharman et al.2006)

So, all these four theories have a common overlapping area, the central nervous system that is the spinal cord and brain activation. (Figure No. 1). PNF stretching may activate the higher cortical portion of the brain particularly sensory and motor cortex, and also the pre motor cortex area. The other associated cortical areas may influence by the PNF stretching as reported by the scientists (Shimura and Kasai, 2002; Costa et al. 2017; Lial et al.2017; Moreira et al. 2017; Nakamura and Kosaka,1986).

In the findings area, five out of the six identified studies were discussed. Three studies measured EEG alpha band power and one study measured EEG beta band power, and the fourth study measured peripheral neural activation by measuring EMG-RT and also the

cortical activity by measuring motor cortex evoked potentials. Another study was measured brain chemical, the serum BDNF. All these five experimental studies reported that PNF stretching might activate cortical regions especially sensory-motor and pre motor cortex; and increased working memory by activating dorsolateral prefrontal cortex (Moreira et al. 2017); dorsomedial prefrontal cortex (Raschle et al.2015) and fronto-parietal circuit (Dickstein and Deutsch, 2007; Adler et al. 2008; Stoeckel et al. 2009) . The theories related to the possible PNF mechanisms of the activation of CNS, especially the brain areas has been corroborated with the findings of the above five experimental studies (Figure No.3). The above discussion also supported by the recent BDNF study (Chaturvedi et al.2018). They reported that BDNF levels increased after PNF stretching promotes neurogenesis, angiogenesis (Kurozumi et al. 2004; Schabitz et al. 2007) which may develop neuroplasticity. However, Wilkinson (1992) and Mitchell et al. (2009) challenged the theoretical mechanisms: reciprocal inhibition and autogenic inhibition theory on PNF. They collected data from surface EMG parameters. They confirmed that reciprocal inhibition and autogenic inhibition were not evident from the agonist, antagonist muscle EMG data and concluded that explanation for mechanisms of PNF stretching appears to be inadequate. Fig. 3: PNF stretching and brain function.

Figure 3: PNF stretching and brain function



Conclusion:

After reviewing the experimental papers on the effect of PNF stretching and brain function, following conclusion was drawn: i) PNF stretching can activate both the left and right cortical hemisphere ii) Sensory cortex iii) Motor cortex and pre-motor cortex area also activated iv) Working memory involved in the time of PNF stretching v) PNF stretching may develop brain plasticity by increasing BDNF. The present research team is recommending PNF stretching as a useful maneuver for different types of brain activity development and rehabilitation process. However, in the future research supporting with brain hemodynamics, structural changes and biochemical study may confirmed our conclusion and recommend strongly for implementation. Also, PNF stretching compared with Yogic stretching may add a new type of direction in the rehabilitation process and performance enhancement.

Conflict of Interest Statement:

The authors declare that this review was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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